

SOLO(K) FEE SCHEDULE

Set-Up	1	Includes:		New Plan	
		• Adoption Agreement	Base Fee	\$250	
		• Summary Plan Description (SPD)	Per Participant Fee	\$0	
		• Concent of Action/Board of Directors Resolution			
		• Loan Policy			
		• 408(b)(2) Disclosure			
		• \$200 Rush Fee will apply for startup plans received 15 days prior to Deferral deadline of 12/31 and applicable tax deadline for Profit Sharing only for previous plan year			
Annual Admin	2	Includes:		Under 250k	Over 250k
		• Sending out Year-End Questionnaire	Base Fee	\$100	\$300
		• Verify if Accounts are over \$250k	Per Participant Fee	\$0	\$0
		• Form 5500EZ/SF (if over \$250k)			

MISCELLANEOUS FEES

Employer	3	These Fees will be added to the employers invoice (if needed) per event
		• Plan Amendment (including IRS Mandatory Amendments) \$125/Amendment
		• Extension for Form 5500EZ \$150/Extension
		• Retroactive Form 5500EZ/SF Preparation \$200/Year Needed
		• Profit Sharing/Match Calculations Assistance \$200
		• Audit Assistance \$100/Hour
		• Plan Restatement with SPD. \$400/required every 6 years
		Annual Document Maintenance may be added at startup of plan or after Restatement \$125
		• Plan Termination \$250
		• Service Termination (fees may apply if additional documentation is needed) \$0
		• Self Employed Earned Income Determination \$175/Hour
		(Sole Prop, Partnership, K1, Schedule C income)
		• Participant Loans - Set-up \$100
		• Annual Loan Administration (all years billed up front with set-up) \$25
		• Participant Distributions \$100
		• QDRO Divorce Account Balance Split \$350 (minimum)
		• Accounts - Self Directed Brokerage/Life Insurance Policies \$100
		• Form 1099R/1096 \$25
		* Failure to provide NAI Duplicate Statements \$125/Year